

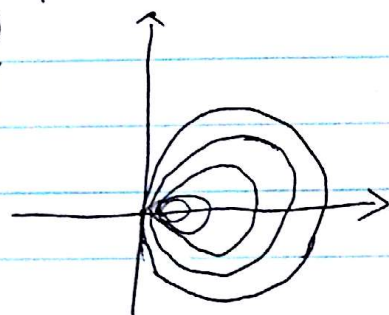
## The classification of covering spaces 1.

A space  $X$  is said to be semilocally simply-connected if for each point  $x \in X$ , there is an open neighborhood  $U$  such that the inclusion-induced map  $\pi_1(U, x) \rightarrow \pi_1(X, x)$  is trivial.

- The shrinking wedge of circles (Example 1.25)

$$X = \bigcup_{i=1}^{\infty} \left\{ (x, y) \mid \left(x - \frac{1}{n}\right)^2 + y^2 = \frac{1}{n^2} \right\}$$

is not semilocally simply-connected.



- But the cone  $CX = \frac{X \times I}{X \times \{0\}}$  is semilocally simply-connected since  $\pi_1(CX) = 0$ . i.e.  $CX$  is contractible.

Note that  $CX$  is not locally simply-connected.

Recall: A space  $X$  is said to be locally simply-connected if for every  $x \in X$ , and every open subset  $V$  of  $X$  containing  $x$ , there is an open subset  $U$  of  $X$  contained in  $V$ , and which is simply connected in the subspace topology from  $X$ .

- A locally semi-connected space is certainly semilocally simply-connected.

• Let  $X$  be a space which is connected and locally path-connected. Then  $X$  is path-connected.

Pf:

Fix  $a \in X$ . and let  $C = \{x \in X : \exists \text{ a path in } X \text{ from } a \text{ to } x.\}$

We want to show that  $C$  is open and closed. The assumption that  $X$  is locally path-connected implies that  $C$  is open.

Suppose  $x \notin C$  and choose a path-connected open set  $U$  containing  $x$ .

If  $y \in U$ , then there is a path  $h$  in  $U$  from  $y$  to  $x$ .

Therefore there cannot exist a path in  $X$  from  $a$  to  $y$ . (why?)

$\Rightarrow y \notin C. \Rightarrow x \in U \subseteq X - C \Rightarrow C$  is closed. \*\*

• Suppose that  $p: \tilde{X} \rightarrow X$  is a universal covering space. Then  $X$  is semi-locally simply-connected.

$\pi_1(\tilde{X}) = 0$   
+ path connected

Pf:

Every point  $x \in X$  has a neighborhood  $U$  having a lift  $\tilde{U} \subset \tilde{X}$  projecting homeomorphically to  $U$  by  $p$ .

Each loop in  $U$  lifts to a loop in  $\tilde{U}$  & the lifted loop is null homotopic in  $\tilde{X}$  since  $\pi_1(\tilde{X}) = 0$

So composing this null homotopy with  $p$ , the original loop in  $U$  is null homotopic in  $X$ .  $\#$

• If  $X$  is path-connected, locally path-connected and semi-locally simply-connected, then there is a universal covering space  $p: \tilde{X} \rightarrow X$ .

pf:

We construct  $\tilde{X}$  as follows:

Let  $x_0 \in X$  and define

$$\tilde{X} = \{[\gamma] \mid \gamma \text{ is a path in } X \text{ with } \gamma(0) = x_0\}$$

where  $[\gamma]$  denotes the homotopy class of  $\gamma$  w.r.t. homotopies that fix  $\gamma(0)$  and  $\gamma(1)$ .

$p: \tilde{X} \rightarrow X$  sending  $[\gamma]$  to  $\gamma(1)$  is well-defined since homotopic paths have the same endpoints.

$p$  is surjective since  $X$  is path-connected.

To define a topology on  $\tilde{X}$ , we choose a basis for the topology on  $X$  first.

Let  $\mathcal{U} = \{U \subseteq X \mid U \text{ is open and path-connected}\}$   
 $\pi_1(U) \rightarrow \pi_1(X)$  is trivial.

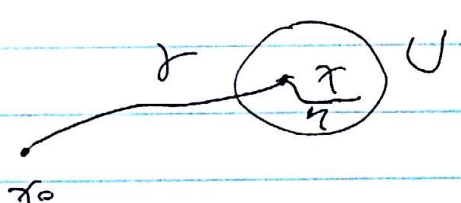
Suppose  $x \in U_1 \cap U_2$  for some  $U_1, U_2 \in \mathcal{U}$ .

For any path-connected open neighborhood  $U$  of  $x$  satisfying  $U \subseteq U_1 \cap U_2$ .

$\pi_1(U) \rightarrow \pi_1(X)$  is trivial since it is the composite  $\pi_1(U) \rightarrow \pi_1(U_i) \rightarrow \pi_1(X)$ .

There  $U \in \mathcal{U}$  and hence  $\mathcal{U}$  is a basis on  $X$  if  $X$  is locally path-connected and semilocally path-connected.

Given a set  $U \in \mathcal{U}$  and a path  $\gamma$  in  $X$  from  $x_0$  to a point  $x \in U$   
 let  $U[\gamma] = \{[\gamma \cdot \eta] \mid \eta \text{ is a path in } U \text{ with } \eta(0) = \gamma(1)\}$



Notice that  $U[\gamma]$  depends only on the homotopy class of  $\gamma$ .

Since  $U$  is path-connected,  $p: U[\gamma] \rightarrow U$  is surjective  
 $[\gamma \cdot \eta] \mapsto (\gamma \cdot \eta)(1)$

if  $p([\gamma \cdot \eta_1]) = p([\gamma \cdot \eta_2])$  in  $U$ ,  
 then  $\eta_1 \cdot \bar{\eta}_2$  is a loop in  $U$  based at  $\gamma(1)$

Since  $\pi_1(U) \rightarrow \pi_1(X)$  is trivial,  $\eta_1 \simeq \eta_2$  in  $X$ .

hence  $\gamma \cdot \eta_1 \simeq \gamma \cdot \eta_2$ .

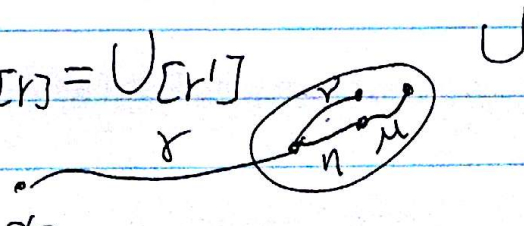
This shows that  $p: U[\gamma] \rightarrow U$  is injective.

We show that the collection

$\tilde{\mathcal{U}} = \{U[\gamma] \mid U \in \mathcal{U}, \gamma(0) = x_0, \gamma(1) \in U\}$   
 is a basis for a topology on  $\tilde{X}$ .

We first show that

(\*)  $[\gamma'] \in U[\gamma] \rightarrow U[\gamma] = U[\gamma']$



$$[r'] \in U[r]$$

Pr: If  $r' \simeq r \cdot \eta$ , then  $r' \cdot \mu \simeq r \cdot \eta \cdot \mu$  for any path  $\mu$  in  $U$  with  $\mu(0) = r'(1)$ .

thus shows that  $U[r'] \subseteq U[r]$ .

On the other hand, for any path  $\nu$  in  $U$  with  $r(1) = \nu(0)$ , we have

$$[r \cdot \nu] = [r \cdot \eta \cdot \bar{\eta} \cdot \nu] = [r' \cdot \bar{\eta} \cdot \nu]$$

$$\Rightarrow U[r] \subseteq U[r'] \quad \#$$

If  $[r''] \in U[r] \cap V[r']$  for some  $U, V \in \mathcal{U}$ , then (\*) implies that  $U[r''] = U[r]$  and  $V[r''] = V[r']$ .

So if  $W \in \mathcal{U}$  such that  $r''(1) \in W$  and  $W \subseteq U \cap V$ . Then  $W[r''] \subseteq U[r] \cap V[r']$  and  $[r''] \in W[r'']$ . This shows that  $\tilde{\mathcal{U}}$  is a basis for a topology on  $\tilde{X}$ .

The bijection  $p: U[r] \rightarrow U$  is a homeomorphism, since for any  $V \in \mathcal{U}$  with  $V \subset U$  and  $[r'] \in U[r]$  with  $r'(1) \in V$ , we have

$$p(V[r']) = V \subseteq U$$

$$\text{and } p^{-1}(V) \cap U[r] = V[r']$$

(  $V[r'] \subset U[r'] = U[r]$ ,  $V[r']$  maps onto  $V$  by  $p$ .)

It follows that  $p: \tilde{X} \rightarrow X$  is continuous and hence is a covering space.

Given any  $[r] \in \tilde{X}$ , we define  $\gamma_t$  by

$$\gamma_t(s) = \begin{cases} r(s), & 0 \leq s \leq t \\ r(t), & t \leq s \leq 1, \end{cases}$$

Then  $t \mapsto [\gamma_t]$  is a path in  $\tilde{X}$  which lifts  $r$  starting at  $[x_0]$ , where  $x_0$  is the constant path in  $X$  at  $x_0$ .

This is a path from  $[x_0]$  to  $[r]$  in  $\tilde{X}$ , since  $[r]$  was an arbitrary point in  $\tilde{X}$ , this shows that  $\tilde{X}$  is path-connected.

To show that  $\pi_1(\tilde{X}, [x_0]) = 0$  it suffices to show that  $p_*(\pi_1(\tilde{X}, [x_0])) = 0$

since  $p_*: \pi_1(\tilde{X}, [x_0]) \rightarrow \pi_1(X, x_0)$  is injective.

if  $\gamma$  is a loop in  $X$  based at  $x_0$ , representing an element of  $p_*(\pi_1(\tilde{X}, [x_0]))$ , then it lifts to a loop in  $\tilde{X}$  based at  $[x_0]$ .

Since  $t \mapsto [\gamma_t]$  is a lift of  $\gamma$  based at  $[x_0]$ , for this lifted path to be a loop means that  $[\gamma_1] = [x_0]$ .

since  $r_1 = \gamma \Rightarrow [\gamma] = [x_0]$

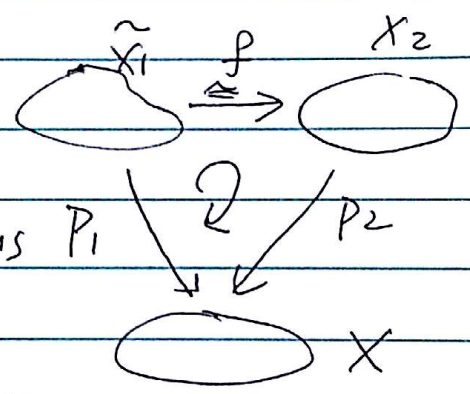
so  $\gamma$  is null homotopic and  $P_*(\pi_1(\tilde{X}, [x_0])) = 0$ .

This completes the construction of a simply-connected (universal) covering space  $\tilde{X} \rightarrow X$ .

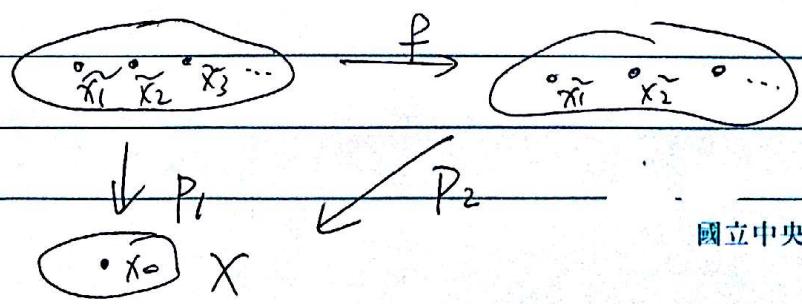
- An isomorphism between covering spaces  $P_1: \tilde{X}_1 \rightarrow X$  and  $P_2: \tilde{X}_2 \rightarrow X$  is a homeomorphism  $f: \tilde{X}_1 \rightarrow \tilde{X}_2$  such that  $P_1 = P_2 \circ f$

$\Rightarrow f^{-1}$  is also an isomorphism

The composition of two isomorphisms  $P_1$  is an isomorphism, so we have an equivalence relation.



Prop 1.37: if  $X$  is path-connected and locally path-connected, then two path-connected covering spaces  $P_1: \tilde{X}_1 \rightarrow X$  and  $P_2: \tilde{X}_2 \rightarrow X$  are isomorphic via an isomorphism  $f: \tilde{X}_1 \rightarrow \tilde{X}_2$  taking a basepoint  $\tilde{x}_1 \in P_1^{-1}(x_0)$  to a basepoint  $\tilde{x}_2 \in P_2^{-1}(x_0)$  iff  $P_{1*}(\pi_1(\tilde{X}_1, \tilde{x}_1)) = P_{2*}(\pi_1(\tilde{X}_2, \tilde{x}_2))$ .



Recall: # of sheets of a covering space  
 $P: (\tilde{X}, \tilde{x}_0) \rightarrow (X, x_0)$  with  $X$  and  $\tilde{X}$  path-connected  
 $=$  index of  $P_*(\pi_1(\tilde{X}, \tilde{x}_0))$  in  $\pi_1(X, x_0)$ .  
 (Prop 1.32).

Corollary:  $\tilde{X}$  is simply-connected,  $p: \tilde{X} \rightarrow X$  is a surjective covering space, then for any  $x \in X$ , there is a bijection  
 $p^{-1}(x) \cong \pi_1(X, x)$ .

"uniqueness up to isomorphism of covering spaces."

- For a covering space  $p: \tilde{X} \rightarrow X$  the isomorphisms  $\tilde{X} \rightarrow \tilde{X}$  are called deck transformations (covering)

These form a group  $G(\tilde{X})$  under composition.

EX: Consider the covering space  $p: \mathbb{R} \rightarrow S^1$   
 $t \mapsto \exp(2\pi i t)$

taking 1 as the base point.

$$p^{-1}(1) = \mathbb{Z}$$

The covering transformations  $\mathbb{R} \xrightarrow{f} \mathbb{R}$

are maps  $t \mapsto t + n$ ,  $n \in \mathbb{Z}$ . form

a group isomorphic to  $(\mathbb{Z}, +)$ .

i.e.  $G(\tilde{X}) \cong \mathbb{Z}$

EX:  $p: S^1 \rightarrow S^1$ ,  $G(\tilde{X}) \cong \mathbb{Z}_n$   
 $z \mapsto z^n$



• Recall:

A covering space is a universal covering space if it is simply connected.

Prop:  $p: (\tilde{X}, \tilde{x}_0) \rightarrow (X, x_0)$  is the universal covering space of the path-connected, locally path-connected space  $X$ , then  
$$G(\tilde{X}) \cong \pi_1(X).$$

EX:  $\pi_1(\mathbb{R}P^n) \cong \mathbb{Z}_2$  for  $n \geq 2$ .

since  $S^n \rightarrow \mathbb{R}P^n$  is the universal cover.  
( $\pi_1(S^n) = 0$ ) and  $p^{-1}([x]) = \{x, -x\}$ .