Solution to Midterm 1

**Problem 1:** Let  $V = \{(a_1, a_2) : a_1, a_2 \in \mathbb{R}\}$ . Define addition of elements of V coordinatewise, and for  $(a_1, a_2)$  in V and  $c \in \mathbb{R}$ , define

$$c(a_1, a_2) = \begin{cases} (0,0) & \text{if } c=0\\ (ca_1, \frac{a_2}{c}) & \text{if } c\neq 0. \end{cases}$$

Is V a vector space over  $\mathbb{R}$  with these operations? Justify your answer. (5 points)

Solution. No! If  $c, d \in \mathbb{R}, c + d \neq 0, c \neq 0, d \neq 0$ , then

$$(c+d)(a_1, a_2) = ((c+d)a_1, \frac{a_2}{c+d})$$

usually is not equal to

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$$c(a_1, a_2) + d(a_1, a_2) = (ca_1 + da_1, \frac{a_1}{c} + \frac{a_2}{d}).$$

(VS8) does not hold.

**Problem 2:** Let  $W_1$  and  $W_2$  be subspaces of a vector space V. Prove that  $W_1 \cup W_2$  is a subspace of V if and only if  $W_1 \subseteq W_2$  or  $W_2 \subseteq W_1$ . (9 points)

*Proof.* ( $\Leftarrow$ ) that  $W_1 \subseteq W_2$  or  $W_2 \subseteq W_1$ , then  $W_1 \cup W_2 = W_1$  or  $W_2$ . Since  $W_1$  and  $W_2$  are subspaces V, we have  $W_1 \cup W_2$  is also a subspace of V

 $\begin{array}{l} (\Rightarrow) \text{ Suppose that } W_1 \cup W_2 \text{ is a subspace of } V. \\ \text{Also suppose that } W_1 \not\subseteq W_2 \text{ and } W_2 \not\subseteq W_1, \text{ then there exist } u, v \in V \text{ such that } u \in W_1 \backslash W_2, v \in W_2 \backslash W_1. \\ \Rightarrow u, v \in W_1 \cup W_2 \Rightarrow u + v \in W_1 \cup W_2. \\ \text{If } u + v \in W_1, \text{ then } (-u) + (u + v) \in W_1 \Rightarrow v \in W_1 \rightarrow \leftarrow \\ \text{If } u + v \in W_2, \text{ then } (u + v) + (-v) \in W_2 \Rightarrow u \in W_2 \rightarrow \leftarrow \\ \text{Hence } W_1 \subseteq W_2 \text{ or } W_2 \subset W_1. \end{array}$ 

**Problem 3:** Show that if  $S_1$  and  $S_2$  are arbitrary subsets of a vector space V, then  $\operatorname{span}(S_1 \cup S_2) = \operatorname{span}(S_1) + \operatorname{span}(S_2)$ . (9 points)

Proof. Let  $u \in \operatorname{span}(S_1 \cup S_2)$ , then  $u = \sum_{i=1}^m a_i v_i + \sum_{j=1}^n b_j w_j$ , for some scalars  $a_i, i = 1, \cdots, m, b_j, j = 1, \cdots, n$ , where  $v_i, i = 1, \cdots, m$ , are in  $S_1$  and  $w_j, j = 1, \cdots, n$ , are in  $S_2$ . Since  $\sum_{i=1}^m a_i v_i$  is in  $\operatorname{span}(S_1)$  and  $\sum_{j=1}^n b_j w_i$  is in  $\operatorname{span}(S_2)$ , we have  $u \in \operatorname{span}(S_1) + \operatorname{span}(S_2)$ . Hence  $\operatorname{span}(S_1 \cup S_2) \subseteq \operatorname{span}(S_1) + \operatorname{span}(S_2)$ .

Now let  $v = x + y \in \operatorname{span}(S_1) + \operatorname{span}(S_2)$ , where  $x \in \operatorname{span}(S_1)$  and  $y \in \operatorname{span}(S_2)$ . We can write  $x = \sum_{i=1}^{m} a_i v_i$ , for some scalars  $a_i, i = 1, \cdots, m$  and  $v_i \in S_1, i = 1, \cdots, m$  and  $y = \sum_{j=1}^{n} b_j w_j$ , for some scalars  $b_j, j = 1, \cdots, n$  and  $w_i \in S_2, j = 1, \cdots, n$ . Then we can see that  $v = x + y = \sum_{i=1}^{m} a_i v_i + \sum_{j=1}^{n} b_j w_j$  is in  $\operatorname{span}(S_1 \cup S_2)$ , since  $v_i, i = 1, \cdots, m, w_j, j = 1, \cdots, n$  are in  $S_1 \cup S_2$ . Hence  $\operatorname{span}(S_1) + \operatorname{span}(S_2) \subseteq \operatorname{span}(S_1 \cup S_2)$ . Therefore  $\operatorname{span}(S_1 \cup S_2) = \operatorname{span}(S_1) + \operatorname{span}(S_2)$ .

**Problem 4:** Prove that a set S is linear dependent if and only if  $S = \{0\}$  or there exist distinct vectors  $v, u_1, u_2, \dots, u_n$  in S such that v is a linear combination of  $u_1, u_2, \dots, u_n$ . (9 points)

*Proof.* ( $\Rightarrow$ ) If S is linearly dependent and  $S \neq \{0\}$ , then there exist distinct vectors  $u_0, u_1, \dots, u_n \in S$  such that

$$a_0u_0 + a_1u_1 + \dots + a_nu_n = 0$$

with at least one of the scalars  $a_0, a_1, \dots, a_n$  is not zero, say  $a_0 \neq 0$ . Then we have

$$u_0 = \left(-\frac{a_1}{a_0}\right)u_1 + \left(-\frac{a_2}{a_0}\right)u_2 + \dots + \left(-\frac{a_n}{a_0}\right)u_n.$$

Hence  $v = u_0$  is a linear combination of  $u_1, u_2, \cdots, u_n$ .

( $\Leftarrow$ ) If  $S = \{0\}$ , then it's clear that S is linearly dependent.

Assume that there exist distinct vectors  $v, u_1, u_2, \dots, u_n \in S$  such that v is a linear combination of  $u_1, u_2, \dots, u_n$ , say

$$v = a_1u_1 + a_2u_2 + \cdots + a_nu_n,$$

for some scalars  $a_1, a_2, \cdots, a_n$ . Then we have

$$0 = (-1)v + a_1u_1 + a_2u_2 + \cdots + a_nu_n.$$

Hence S is linearly dependent.

**Problem 5:** Prove that if  $W_1$  is any subspace of a finite-dimensional vector space V, then there exists a subspace  $W_2$  of V such that  $V = W_1 \oplus W_2$ . (9 points)

Proof. Let  $\beta = \{u_1, \dots, u_n\}$  be a basis for  $W_1$ . Since  $W_1$  is a subspace of V. By Replacement Theorem, we can extend  $\beta$  to a basis for V, say  $\alpha = \{u_1, \dots, u_n, u_{n+1}, \dots, u_m\}$ . Let  $W_2 = \operatorname{span}(\{u_{n+1}, \dots, u_m\})$ . Claim that  $V = W_1 \oplus W_2$ . 1.  $\underbrace{V = W_1 + W_2}_{V \in V}$ , then  $v = \sum_{i=1}^{m} a_i u_i = \sum_{i=1}^{n} a_i u_i + \sum_{i=1}^{m} a_i u_i \in W_1 + W_2$ , for some scalars  $a_i, i = 1, \dots, m$ .

$$v = \sum_{i=1}^{n} a_i u_i = \sum_{i=1}^{n} a_i u_i + \sum_{i=n+1}^{n} a_i u_i \in W_1 + W_2, \text{ for some scalars } a_i, i = 1, \cdots, m.$$

This implies that  $V \subseteq W_1 + W_2$ . But by the definition of  $W_1 + W_2$ , we also know that  $W_1 + W_2 \subseteq V$ . Hence  $V = W_1 + W_2$ . 2.  $W_1 \cap W_2 = \{0\}$ .

Let  $u \in W_1 \cap W_2$ . Then  $u = \sum_{i=1}^n b_i u_i = \sum_{i=n+1}^m c_i u_i$ , for some scalars  $b_1, \dots, b_n, c_{n+1}, \dots, c_m$ . Then we have

$$\sum_{i=1}^{n} b_i u_i + \sum_{i=n+1}^{m} (-c_i) u_i = 0.$$

But  $\alpha$  is linearly independent, since  $\alpha$  is a basis. Hence  $b_1 = \cdots = b_n = c_{n+1} = \cdots = c_m = 0$ . This implies that u = 0. That is  $W_1 \cap W_2 = \{0\}$ . By 1 and 2, we have  $V = W_1 \oplus W_2$ . We are done!

**Problem 6:** Prove that if  $W_1$  and  $W_2$  are finite-dimensional subspaces of a vector space V, then the subspace  $W_1 + W_2$  is finite-dimensional, and  $\dim(W_1 + W_2) = \dim(W_1) + \dim(W_2) - \dim(W_1 \cap W_2)$ . (9 points)

(Hint: Start with a basis  $\{u_1, u_2, \dots, u_k\}$  for  $W_1 \cap W_2$  and extend this set to a basis  $\{u_1, u_2, \dots, u_k, v_1, v_2, \dots, v_m\}$  for  $W_1$  and to a basis  $\{u_1, u_2, \dots, u_k, w_1, w_2, \dots, w_p\}$  for  $W_2$ .)

Proof. dim $(W_1 \cap W_2) \leq \text{dim}(V)$   $\Rightarrow W_1 \cap W_2$  has a finite basis  $\beta = \{u_1, u_2, \cdots, u_k\}$ . We can extend  $\beta$  to a basis  $\beta_1 = \{u_1, u_2, \cdots, u_k, v_1, v_2, \cdots, v_m\}$  for  $W_1$  and to a basis  $\beta_2 = \{u_1, u_2, \cdots, u_k, k_1, k_2, \cdots, k_p\}$  for  $W_2$ . Let  $\alpha = \{u_1, u_2, \cdots, u_k, v_1, v_2, \cdots, v_m, w_1, w_2, \cdots, w_p\}$ . We claim that  $\alpha$  is a basis for  $W_1 + W_2$ . To prove the claim, we need to check that

## 1. $\alpha$ is linearly independent.

Let  $a_1u_1 + \dots + a_ku_k + b_1v_1 + \dots + b_mv_m + c_1w_1 + \dots + c_pw_p = 0$ , for some scalars  $a_1, \dots, a_k, b_1, \dots, b_m, c_1, \dots, c_p$ . Then  $(-b_1)v_1 + \dots + (-b_m)v_m = a_1u_1 + \dots + a_ku_k + c_1w_1 + \dots + c_pw_p \in W_1 \cap W_2$ . Since  $\beta$  is a basis for  $W_1 \cap W_2$ , we have  $(-b_1)v_1 + \dots + (-b_m)v_m = d_1u_1 + \dots + d_ku_k$  for some scalars  $d_1, \dots, d_k$ .  $\Rightarrow d_1u_1 + \dots + d_ku_k + b_1v_1 + \dots + b_mv_m = 0$   $\Rightarrow d_1 = \dots = d_k = b_1 = \dots = b_m = 0$ , since  $\beta_1$  is a basis for  $W_1$ .  $\Rightarrow a_1u_1 + \dots + a_ku_k + c_1w_1 + \dots + c_pw_p = 0$   $\Rightarrow a_1 = \dots = a_k = c_1 = \dots = c_p = 0$ , since  $\beta_2$  is a basis for  $W_2$ . Hence  $\alpha$  is linearly independent.

## 2. $W_1 + W_2 = \text{span}(\alpha)$ .

Let  $u = v + w \in W_1 + W_2$ , where  $v \in W_1$  and  $w \in W_2$ , be any vector in  $W_1 + W_2$ . Since  $\beta_1$  is a basis for  $W_1$  and  $\beta_2$  is a basis for  $W_2$ , we can find some scalars  $x_1, \dots, x_k, y_1, \dots, y_m, z_1, \dots, z_k$ , such that

$$u = (x_1u_1 + \dots + x_ku_k + y_1v_1 + \dots + y_mv_m) + (z_1u_1 + \dots + z_ku_k + t_1w_1 + \dots + t_pw_p)$$
  
=  $((x_1 + z_1)u_1 + \dots + (x_k + z_k)u_k + y_1v_1 + \dots + y_mv_m + t_1w_1 + \dots + t_pw_p)$ 

That is,  $W_1 + W_2 \subseteq \operatorname{span}(\alpha)$ . It is easy to see that  $\operatorname{span}(\alpha) \subseteq W_1 + W_2$ . Hence  $W_1 + W_2 = \operatorname{span}(\alpha)$ . Therefore,  $\alpha$  is a basis for  $W_1 + W_2$ .

Finally, we have

$$\dim(W_1 + W_2) = k + m + p = (k + m) + (k + p) - k = \dim(W_1) + \dim(W_2) - \dim(W_1 \cap W_2).$$

**Bonus Problem 7:** Prove that the intersection of three 6-dimensional subspaces in  $\mathbb{R}^8$  is not the zero vector space  $\{0\}$ . (5 points)

*Proof.* Let U, V and W be three 6-dimensional subspaces of  $\mathbb{R}^8$ . Then U + V is a subspace of  $\mathbb{R}^8$  and  $\dim(U + V) \leq 8$ . By Problem 6, we have

$$\dim(U \cap V) = \dim(U) + \dim(V) - \dim(U + V) \ge 6 + 6 - 8 = 4$$

Similarly, we have  $\dim(U \cap W) \ge 4$ .

Since  $U \cap V \subseteq U$  and  $U \cap W \subseteq U$ ,  $U \cap V$  and  $U \cap W$  are subspaces of U. This implies that  $(U \cap V) + (U \cap W)$  is a subspace of U. Hence, dim  $((U \cap V) + (U \cap W)) \leq \dim(U) = 6$ . By Problem 6 again, we have

$$\dim(U \cap V \cap W) = \dim \left( (U \cap V) \cap (U \cap W) \right)$$
  
= 
$$\dim(U \cap V) + \dim(U \cap W) - \dim \left( (U \cap V) + (U \cap W) \right)$$
  
$$\geq 4 + 4 - 6 = 2.$$

Therefore, the intersection of three 6-dimensional subspaces in  $\mathbb{R}^8$  has at least dimension two and can not be the zero vector space  $\{0\}$ .