

# Adaptive variational model for contrast enhancement of low-light images



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# Outline

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## Contrast enhancement

- 1 Histogram equalization (HE)
- 2 Automatic color equalization (ACE)
- 3 Variational model for contrast enhancement
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- 5 Numerical experiments and comparisons
- 6 Summary and conclusions

Joint work with Po-Wen Hsieh (NCHU) and Suh-Yuh Yang (NCU).

# Contrast enhancement

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The main purpose of contrast enhancement is to **adjust the image intensity to enhance the quality and features** of the image for a better human visual perception or machine vision identification.



A low-light image and its enhanced result by our model

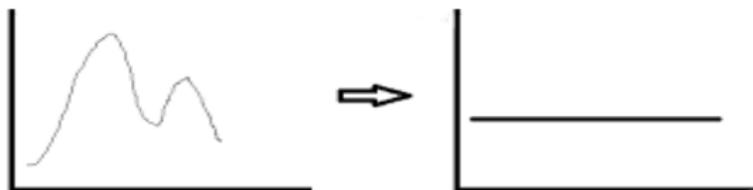
## Histogram equalization (HE)

- Given a gray-scale image  $f : \Omega \rightarrow [0, 1]$ . The cumulative histogram  $F$  is defined by considering  $f$  as a random variable

$$F(\eta) := \mathbf{P}(f \leq \eta) = \frac{1}{|\Omega|} |\{\mathbf{x} \in \Omega : f(\mathbf{x}) \leq \eta\}|, \quad \eta \in [0, 1].$$

- In the second stage, the histogram equalized image is obtained as  $F(f(\mathbf{x}))$ , which is uniformly distributed provided  $F$  is invertible

$$\mathbf{P}(F(f) \leq \eta) = \mathbf{P}(f \leq F^{-1}(\eta)) = F(F^{-1}(\eta)) = \eta.$$



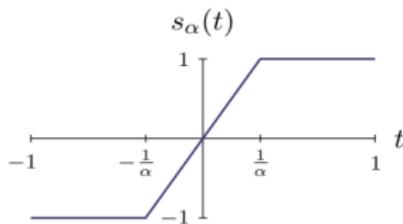
# Automatic color equalization (ACE)

- Given a gray-scale image  $f : \Omega \rightarrow [0, 1]$ . The following operation is performed

$$\tilde{f}(\mathbf{x}) = \sum_{\mathbf{y} \in \Omega \setminus \mathbf{x}} \frac{s_\alpha(f(\mathbf{x}) - f(\mathbf{y}))}{\|\mathbf{x} - \mathbf{y}\|}, \quad \mathbf{x} \in \Omega.$$

- In the second stage,  $\tilde{f}$  is rescaled to  $[0, 1]$  as

$$L(\mathbf{x}) = (\tilde{f}(\mathbf{x}) - \min \tilde{f}) / (\max \tilde{f} - \min \tilde{f}).$$



The slope function  $s_\alpha(t) := \min\{\max\{\alpha t, -1\}, 1\}$  ( $\alpha > 1$ )

## Variational model for contrast enhancement

- A simple variational model for contrast enhancement was introduced by *Morel-Petro-Sbert (IPOL 2014)*.
- Given a gray-scale image  $f : \Omega \rightarrow \mathbb{R}$ , the MPS model is given as

$$\min_u \underbrace{\frac{1}{2} \int_{\Omega} |\nabla u - \nabla f|^2 dx}_{\text{data fidelity term}(D)} + \underbrace{\frac{\lambda}{2} \int_{\Omega} (u - \bar{u})^2 dx}_{\text{regularization term}(R)}$$

( $D$ ): to preserve image details presented in  $f$

( $R$ ): to reduce the variance of  $u$  to eliminate the effect of nonuniform illumination

$\bar{u}$ : the mean value of  $u$  over  $\Omega$ , namely,  $\frac{1}{|\Omega|} \int_{\Omega} u dx$ .

$\lambda$ : a positive constant which balances between detail preservation and variance reduction

- Model is simple but very difficult to solve due to the  $\bar{u}$  term.

## Variational model for contrast enhancement

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- They simplified the original model by assuming that the mean value of  $u$  coincides with the mean value of  $f$ , which gives

$$\min_u \frac{1}{2} \int_{\Omega} |\nabla u - \nabla f|^2 d\mathbf{x} + \frac{\lambda}{2} \int_{\Omega} (u - \bar{f})^2 d\mathbf{x}.$$

- Petro-Sbert-Morel (M&AoA 2014) further improved their model by using the  $L^1$  norm to obtain sharper edges.

$$\min_u \int_{\Omega} |\nabla u - \nabla f| d\mathbf{x} + \frac{\lambda}{2} \int_{\Omega} (u - \bar{f})^2 d\mathbf{x}.$$

- Requiring the desired image  $u$  being close to a pixel-independent  $\bar{f}$  highly contradicts the requirement of  $\nabla u$  being close to  $\nabla f$  and restrains the parameter  $\lambda$  to be very small.

## The proposed adaptive variational model

- We propose two adaptive functions  $g$  and  $h$  to replace the pixel-independent constant  $\bar{f}$  and the original input image  $f$  as

$$\min_u \int_{\Omega} |\nabla u - \nabla h| d\mathbf{x} + \frac{\lambda}{2} \int_{\Omega} (u - g)^2 d\mathbf{x} + \chi_{[0,255]}(u),$$

where  $g$  and  $h$  are devised respectively as

$$g(\mathbf{x}) := \begin{cases} \alpha \bar{f}, & \mathbf{x} \in \Omega_d := \{\mathbf{x} \in \Omega : f(\mathbf{x}) \leq \bar{f}\}, \\ f(\mathbf{x}), & \mathbf{x} \in \Omega_b := \{\mathbf{x} \in \Omega : f(\mathbf{x}) > \bar{f}\}, \end{cases}$$

with a **brightness** parameter  $\alpha > 0$ , and

$$h(\mathbf{x}) := \begin{cases} \beta f(\mathbf{x}), & \mathbf{x} \in \Omega_d := \{\mathbf{x} \in \Omega : f(\mathbf{x}) \leq \bar{f}\}, \\ f(\mathbf{x}), & \mathbf{x} \in \Omega_b := \{\mathbf{x} \in \Omega : f(\mathbf{x}) > \bar{f}\}, \end{cases}$$

with a **contrast-level** parameter  $\beta > 1$ .

- $\Omega_d$  contains **relatively dim** elements while  $\Omega_b$  contains **relatively bright** elements in the image domain  $\Omega$ .

## The proposed adaptive variational model

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- The domain division for color RGB images denoted by  $(f_R, f_G, f_B)$  is conducted as follows. First, we define the maximum image as

$$f_{\max}(\mathbf{x}) := \max\{f_R(\mathbf{x}), f_G(\mathbf{x}), f_B(\mathbf{x})\},$$

where the max operator is performed pointwisely on each  $\mathbf{x} \in \Omega$ .

- Let  $\bar{f}_{\max} := \frac{1}{|\Omega|} \int_{\Omega} f_{\max} d\mathbf{x}$ . Then we divide the image domain  $\Omega$  into two parts

$$\Omega_d := \{\mathbf{x} \in \Omega : f_{\max}(\mathbf{x}) \leq \bar{f}_{\max}\},$$

$$\Omega_b := \{\mathbf{x} \in \Omega : f_{\max}(\mathbf{x}) > \bar{f}_{\max}\}.$$

- As an example, consider an element  $\mathbf{x}^* \in \Omega$  with color intensities  $(f_R(\mathbf{x}^*), f_G(\mathbf{x}^*), f_B(\mathbf{x}^*)) = (25, 25, 200)$ , then  $f_{\max}(\mathbf{x}^*) = 200$ , a large value which should be classified into  $\Omega_b$ .

# The domain division for color images

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(T): Some low-light images      (B): The domain-division results

## The proposed adaptive variational model

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- With the help of the maximum image  $f_{\max}$ , we can now process color images channelwise. For every  $f \in \{f_R, f_G, f_B\}$ , we solve

$$\min_u \int_{\Omega} |\nabla u - \nabla h_c| d\mathbf{x} + \frac{\lambda}{2} \int_{\Omega} (u - g_c)^2 d\mathbf{x} + \chi_{[0,255]}(u),$$

where the adaptive functions  $g_c$  and  $h_c$  are defined as

$$g_c(\mathbf{x}) := \begin{cases} \alpha \bar{f}, & \mathbf{x} \in \Omega_d, \\ f(\mathbf{x}), & \mathbf{x} \in \Omega_b, \end{cases}$$

and

$$h_c(\mathbf{x}) := \begin{cases} \beta f(\mathbf{x}), & \mathbf{x} \in \Omega_d, \\ f(\mathbf{x}), & \mathbf{x} \in \Omega_b. \end{cases}$$

- There is no evidence shown that chooses different  $\lambda$ ,  $\alpha$  and  $\beta$  for each channel separately can have specific benefit. Therefore, for simplicity, we **fix  $\lambda$ ,  $\alpha$ , and  $\beta$  across channel** in this work.

## Existence and uniqueness of minimizer

### Definition 1

Let  $\Omega$  be an open subset of  $\mathbb{R}^2$ . The space of functions of bounded variation  $BV(\Omega)$  is defined as the space of real-valued function  $u \in L^1(\Omega)$  such that the total variation

$$\int_{\Omega} |Du| := \sup \left\{ \int_{\Omega} u \operatorname{div} \varphi \, d\mathbf{x} : \varphi \in C_c^1(\Omega, \mathbb{R}^2), \|\varphi\|_{L^\infty(\Omega)} \leq 1 \right\}$$

is finite. Then  $BV(\Omega)$  is a Banach space with the norm

$$\|u\|_{BV(\Omega)} := \|u\|_{L^1(\Omega)} + \int_{\Omega} |Du|.$$

## Existence and uniqueness of minimizer

### Theorem 1

Let  $\Omega \subset \mathbb{R}^2$  be an open bounded domain with Lipschitz boundary and let  $h \in BV(\Omega)$  be the input image. Then the variational problem

$$\min_u \int_{\Omega} |\nabla u - \nabla h| d\mathbf{x} + \frac{\lambda}{2} \int_{\Omega} (u - g)^2 d\mathbf{x} + \chi_{[0,255]}(u)$$

admits a unique minimizer in  $BV(\Omega) \cap L^2(\Omega)$ .

- $\int_{\Omega} |\nabla u| d\mathbf{x}$  should be realized as the total variation  $\int_{\Omega} |Du|$ .
- Let  $w = u - h$ , then the energy can be rewritten as the TV denoising one proposed by Goldstein-Osher (SIIMS 2009).
- Direct method  $\longrightarrow$  existence.
- Strict convexity  $\longrightarrow$  uniqueness.

# The alternating minimization algorithm

- Introducing the discrete gradient operator as

$(\nabla u)_{i,j} = ((\nabla_x^+ u)_{i,j}, (\nabla_y^+ u)_{i,j})$  with

$$\begin{aligned}(\nabla_x^+ u)_{i,j} &:= \begin{cases} u_{i,j+1} - u_{i,j}, & 1 \leq j \leq N-1, \\ 0, & j = N, \end{cases} \\ (\nabla_y^+ u)_{i,j} &:= \begin{cases} u_{i+1,j} - u_{i,j}, & 1 \leq i \leq N-1, \\ 0, & i = N, \end{cases}\end{aligned}$$

- Then the continuous model can be discretized as

$$\min_u \sum_{i,j} |(\nabla u)_{i,j} - (\nabla f)_{i,j}| + \frac{\lambda}{2} (u_{i,j} - g_{i,j})^2 + \chi_{[0,255]}(u).$$

- Applying the operator splitting, it is then equivalent to

$$\min_{u,d,v} \sum_{i,j} \left( |d_{i,j}| + \frac{\lambda}{2} (u_{i,j} - g_{i,j})^2 \right) + \chi_S(v), \text{ s.t. } d = \nabla u - \nabla h \ \& \ v = u.$$

## The alternating minimization algorithm

- The splitted problem can be solved by using the **Bregman iteration** (or equivalently the **augmented Lagrangian method**). Introducing the penalty parameter  $\gamma > 0$  and  $\delta > 0$ , we arrive at the following unconstrained minimization problem

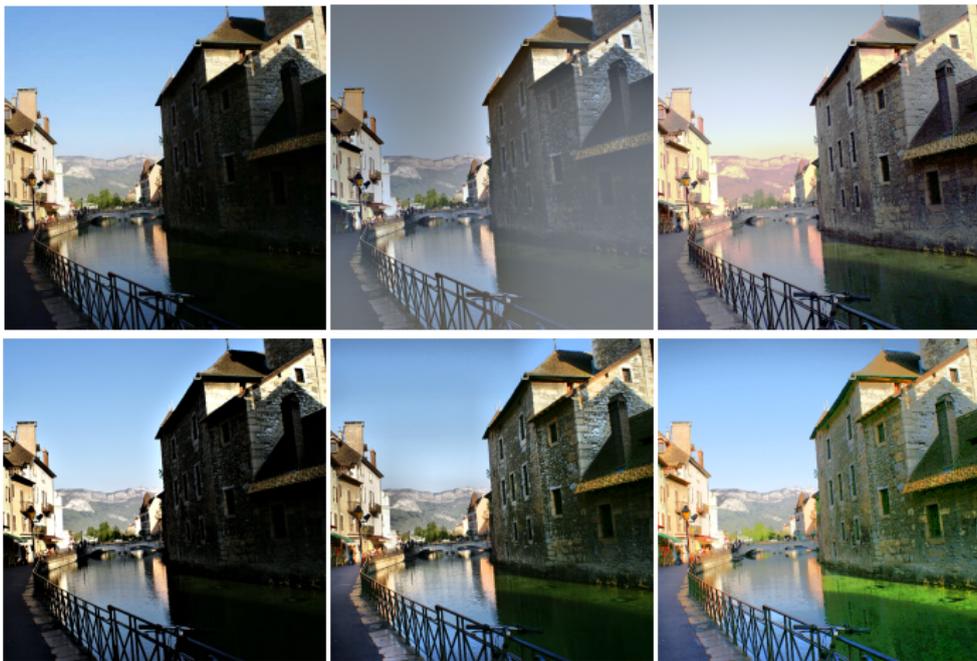
$$\min_{u,d,v} \sum_{i,j} \left( |d_{i,j}| + \frac{\lambda}{2} (u_{i,j} - g_{i,j})^2 \right) \quad (1)$$

$$\begin{aligned} & + \frac{\gamma}{2} |d_{i,j} - (\nabla u)_{i,j} + (\nabla h)_{i,j} - b_{i,j}|^2 \quad (2) \\ & + \frac{\delta}{2} (v_{i,j} - u_{i,j} - c_{i,j})^2 \Big) + \chi_S(v), \end{aligned}$$

where  $b$  and  $c$  are the variables related to the Bregman iteration (or equivalently the Lagrange multipliers).

- Then the problem is solved by **alternating the search directions** of  $u$ ,  $d$ , and  $v$ .

# Numerical experiments and comparisons



(T):  $f$ ,  $u_{MPS}$ ,  $u_{HE}$       (B):  $u_{VCE}$ ,  $u_{CLAHE}$ ,  $u_{MLHE-HE}$

# Numerical experiments and comparisons



(T):  $u_{ACE}(\alpha = 2, 4, 6)$     (B):  $u_{Adaptive}(\alpha = 0.8, 1.0, 1.2), \beta = 3\alpha$

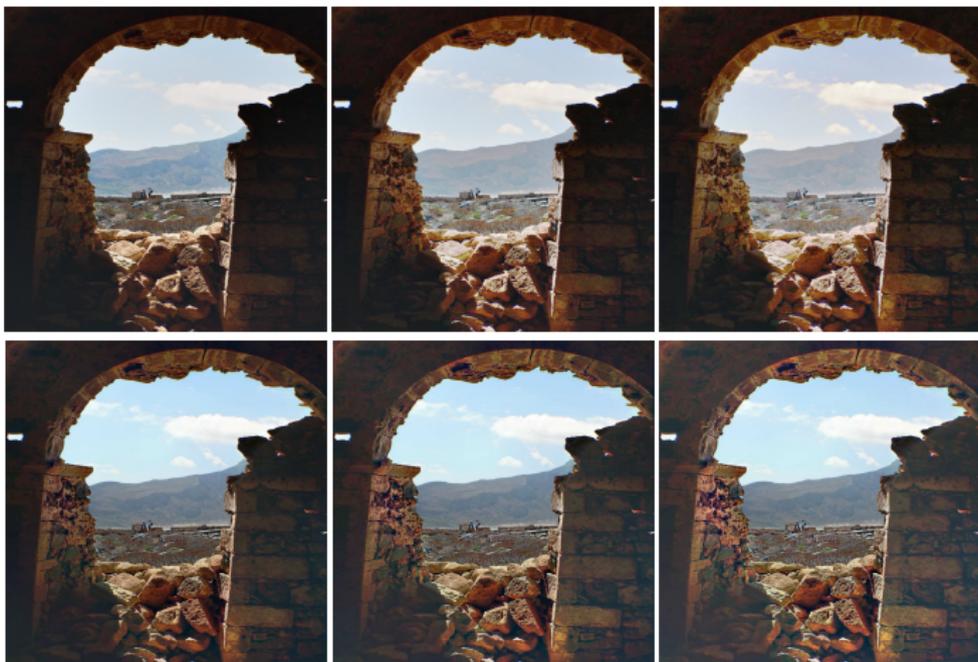
Surprisingly, under the same parameter setting, the iteration number of our model is far **less than** that of the MPS model.

# Numerical experiments and comparisons



(T):  $f, u_{MPS}, u_{HE}$       (B):  $u_{VCE}, u_{CLAHE}, u_{MLHE-HE}$

# Numerical experiments and comparisons



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# Numerical experiments and comparisons



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# Numerical experiments and comparisons

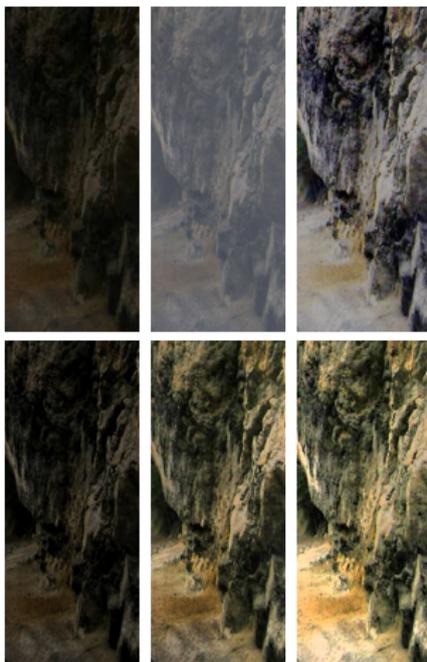
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# Numerical experiments and comparisons

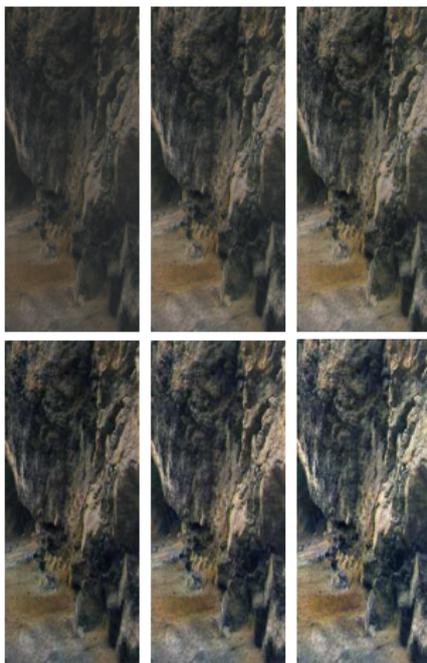
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(T):  $f, u_{MPS}, u_{HE}$     (B):  $u_{VCE}, u_{CLAHE}, u_{MLHE-HE}$

# Numerical experiments and comparisons

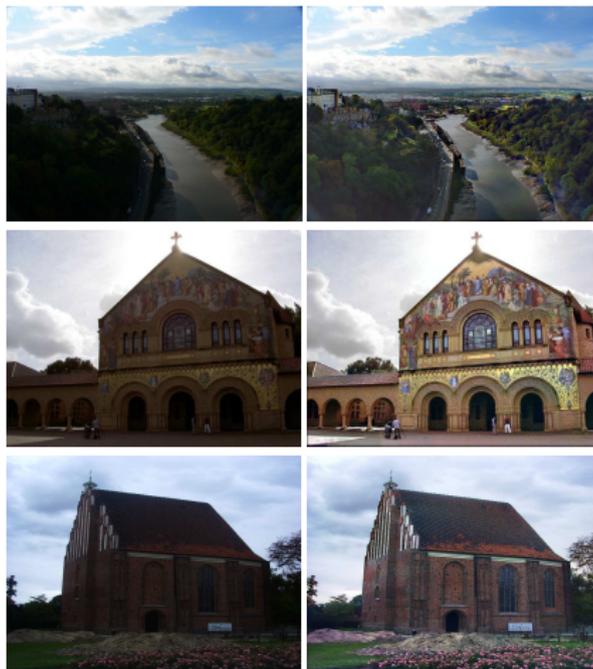
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(T):  $u_{ACE}(\alpha = 2, 4, 6)$     (B):  $u_{Adaptive}(\alpha = 0.8, 1.0, 1.2, \beta = 3\alpha)$

# Other examples

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(L): Several low-light images

(R): Enhanced results by the proposed model

# Other examples

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(L): Several low-light images

(R): Enhanced results by the proposed model

## Summary and conclusions

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- ① We have proposed a simple and efficient adaptive variational model for image contrast enhancement.
- ② This model is designed for enhancing low-light images by dividing the image domain into bright and dim parts.
- ③ The existence and uniqueness of minimizer for the minimization problem is established, and a convergent algorithm is provided.
- ④ The most distinguished feature of our model is that colors are preserved as close as possible to the original ones.
- ⑤ Extending the adaptive idea to other related problems will be our future works.

## References

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Details about today's talk can be found in

- C. Gatta, A. Rizzi, and D. Marini, ACE: An automatic color equalization algorithm, *Proceedings of the First European Conference on Color in Graphics, Image, and Vision (CGIV02)*, 2002, pp. 316-320.
- J.-M. Morel, A. B. Petro, and C. Sbert, Screened Poisson equation for image contrast enhancement, *Image Processing On Line*, 4 (2014), pp. 16-29.
- A. B. Petro, C. Sbert, and J.-M. Morel, Automatic correction of image intensity non-uniformity by the simplest total variation model, *Methods and Applications of Analysis*, 21 (2014), pp. 91-104.
- P.-W. Hsieh, P.-C. Shao, and S.-Y. Yang, Adaptive variational model for contrast enhancement of low light images, *Siam Journal on Imaging Sciences*, 2019.

Thanks for your attention!



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