Mathematical Approaches to 2-D Image Processing: A Preliminary Exploration



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Image processing and computational mathematics

• Tony F. Chan: *Image processing and computational mathematics,* Davis Centre, University of Waterloo, October 7th, 2015.

https://www.youtube.com/watch?v=zZN_L8nt09I

Image processing has emerged not only as an application domain where computational mathematics provides ideas and solutions but also in spurring new research directions,

"new Computational Fluid Dynamics!"

- We briefly introduce two different mathematical approaches to 2-D image processing:
 - (1) Variational method/energy functional minimization
 - (2) Sparse representation and dictionary learning

Total variation

Let $u : [a, b] \to \mathbb{R}$. Let $\mathcal{P}_n = \{x_0 = a, x_1, \dots, x_n = b\}$ be an arbitrary partition of $\overline{\Omega} := [a, b]$ and $\Delta x_i = x_i - x_{i-1}$. The total variation of u is

$$\begin{aligned} \|u\|_{TV(\Omega)} &:= \sup_{\mathcal{P}_n} \sum_{i=1}^n |u(x_i) - u(x_{i-1})| = \sup_{\mathcal{P}_n} \sum_{i=1}^n \left| \frac{u(x_i) - u(x_{i-1})}{\Delta x_i} \right| \Delta x_i \\ &= \int_{\Omega} |u'(x)| \, dx, \quad \text{if } u \text{ is smooth.} \end{aligned}$$

Denoising is the problem of removing noise from an image: minimize $\left(\int_{\Omega} |u'(x)| dx + \text{ some data fidelity term}\right)$.



ROF total-variation model vs. adaptive diffusivity model

Let $f : \overline{\Omega} \subset \mathbb{R}^2 \to \mathbb{R}$ be a given noisy image. Rudin-Osher-Fatemi (1992) proposed the model:

$$\min_{u\in\mathcal{V}}\Big(\int_{\Omega}|\nabla u|+\frac{\lambda}{2}(u-f)^2\,dx\Big),\quad \lambda>0.$$

Hsieh-Shao-Yang (2018) proposed an adaptive model to alleviate *the staircasing effect:*

 $\min_{u\in\mathcal{V}}\Big(\int_{\Omega}\frac{1}{2}\varphi(|\nabla u^*|)|\nabla u|^2+\frac{\lambda}{2}(u-f)^2\,dx\Big),\quad \lambda>0.$



A variational model for image contrast enhancement

Hsieh-Shao-Yang (2020): for every $f \in \{f_R, f_G, f_B\}$, we solve

$$\min_{u\in\mathcal{V}}\left(\int_{\Omega}|\nabla u-\nabla h_{c}|\,d\mathbf{x}+\frac{\lambda}{2}\int_{\Omega}(u-g_{c})^{2}\,d\mathbf{x}\right),$$

where the adaptive functions g_c and h_c are defined as

$$g_c(\mathbf{x}) := \begin{cases} \alpha \bar{f}, & \mathbf{x} \in \Omega_d, \\ f(\mathbf{x}), & \mathbf{x} \in \Omega_b, \end{cases} \quad h_c(\mathbf{x}) := \begin{cases} \beta f(\mathbf{x}), & \mathbf{x} \in \Omega_d, \\ f(\mathbf{x}), & \mathbf{x} \in \Omega_b. \end{cases}$$

Numerical methods: *(i) Euler-Lagrange equation + solving IBVP; (ii) direct discretization + split Bregman iterations.*



Numerical results by the split Bregman iterations

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Chan-Vese segmenation model: nonconvex minimization

Chan-Vese (1999) modified Mumford-Shah model (1989): two-phase

$$\min_{c_1,c_2,\mathcal{C}} \left(\mu |\mathcal{C}| + \nu |\Omega_{\rm in}| + \lambda_1 \int_{\Omega_{\rm in}} (f(\mathbf{x}) - c_1)^2 + \lambda_2 \int_{\Omega_{\rm out}} (f(\mathbf{x}) - c_2)^2 \right).$$

In terms of *H*, δ , and the level set function ϕ , we have

$$\begin{split} \min_{c1,c2,\phi} \Big(\mu \int_{\Omega} \delta(\phi(\mathbf{x})) |\nabla \phi(\mathbf{x})| + \nu \int_{\Omega} H(\phi(\mathbf{x})) + \lambda_1 \int_{\Omega} (f(\mathbf{x}) - c_1)^2 H(\phi(\mathbf{x})) \\ + \lambda_2 \int_{\Omega} (f(\mathbf{x}) - c_2)^2 (1 - H(\phi(\mathbf{x}))) \Big). \end{split}$$

Numerical method: *an alternating iterative scheme (region averages + solving IBVP of the Euler-Lagrange equation)*



Numerical results by an alternating iterative scheme

Adaptive model for intensity inhomogeneous images

Liao-Yang-You (2022) proposed an entropy-weighted local intensity clustering-based model starting from *the bias field model*: f = bI + n:

$$\min_{\mathcal{C},b,c} \left(\mu \left| \mathcal{C} \right| + \int_{\Omega} E_r(\boldsymbol{y}) \sum_{i=1}^n \int_{\Omega_i} K(\boldsymbol{y}-\boldsymbol{x}) \left(f(\boldsymbol{x}) - b(\boldsymbol{y}) c_i \right)^2 d\boldsymbol{x} d\boldsymbol{y} \right).$$

Numerical method: *a new alternating iterative scheme, called iterative convolution-thresholding (ICT) scheme.*



initial contour, segmented result, bias field b, and corrected image f /

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Sparse representation and dictionary learning

SR problem: Given a signal vector $x \in \mathbb{R}^m$ and a dictionary matrix $D \in \mathbb{R}^{m \times n}$, $n \gg m$, we seek a coefficient vector $z^* \in \mathbb{R}^n$ such that

$$oldsymbol{z}^* = rgmin_{oldsymbol{z}} \Big(rac{1}{2} \left\|oldsymbol{x} - oldsymbol{D}oldsymbol{z}
ight\|_2^2 + \lambda \left\|oldsymbol{z}
ight\|_1\Big), \qquad \lambda \ > 0.$$

SDL problem: Let $\{x_i\}_{i=1}^N \subset \mathbb{R}^m$ be a given dataset of signals. We seek a dictionary matrix $\mathbf{D} = [\mathbf{d}_1, \mathbf{d}_2, \cdots, \mathbf{d}_n] \in \mathbb{R}^{m \times n}$ together with the sparse coefficient vectors $\{z_i\}_{i=1}^N \subset \mathbb{R}^n$ that solve the minimization problem:

$$\min_{D_r\{z_i\}} \left(\frac{1}{2} \sum_{i=1}^N \|\mathbf{x}_i - \mathbf{D}\mathbf{z}_i\|_2^2 + \lambda \sum_{i=1}^N \|\mathbf{z}_i\|_1 \right)$$

subject to $\|\mathbf{d}_k\|_2 \le 1, \ \forall \ 1 \le k \le n, \qquad \lambda \ > 0.$

Numerical method: alternating direction method of multipliers (ADMM).

Some applications in image processing

Single image inpainting: *we use the complete patches to train the dictionary, recover the incomplete patches by the sparse representation.*



Other applications: single image super-resolution, image fusion, ...

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Concluding remarks

Still, there are some other mathematical approaches and important techniques in image processing that need to be further studied, e.g.,

- Robust PCA (SVD), sparse and low-rank representation, ...
- Fast and efficient global registration, convex relaxation techniques, ...



Image stitching

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Image stitching

Thank you for your attention!

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